



TH 649 SP bsta

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vogels et al.

Serial No.: 09/332,803

Filed: June 14, 1999

For: PACKAGING SYSTEMS FOR
HUMAN RECOMBINANT ADENOVIRUS
TO BE USED IN GENE THERAPY

Examiner: To be assigned

Group Art Unit: To be Assigned

Attorney Docket No.: 4075US

File 6/13

#5
9/28/99

CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

July 20, 1999
Date of Deposit

Darlene Foucault
Signature of registered practitioner or other person
having reasonable basis to expect mailing to occur on
date of deposit shown pursuant to 37 C.F.R. §
1.8(a)(1)(ii)

Darlene Foucault
Typed/printed name of person whose signature is
contained above

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED

AUG 10 1999

TECH CENTER 1800/2900

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

DOCUMENTS

Foreign Patent Documents

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-----------------|----------------|
| WO 97/00326 | January 3, 1997 | PCT |

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56 & 1.175, applicant hereby identifies the following listed copending applications naming the same inventors:

Serial No.: 08/515,495
Filed: August 15, 1995
For: RECOMBINANT VECTORS DERIVED FROM ADENOVIRUS FOR USE IN GENE THERAPY

Serial No.: 08/892,873
Filed: July 15, 1997
For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY

Serial No.: 08/793,170
Filed: March 25, 1997
For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY

Serial No.: 09/333,820
Filed: June 15, 1999
For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY

Serial No.: 09/334,765
Filed: June 16, 1999
For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO BE USED IN GENE THERAPY

Serial No.: 09/097,239

Filed: June 12, 1998

For: HIGH THROUGHPUT SCREENING OF GENE FUNCTION USING AV
LIBRARIES FOR FUNCTIONAL GENOMICS APPLICATIONS

Serial No.: 08/930,094

Filed: December 15, 1997

For: IMPROVED RETROVIRAL VECTORS, ESPECIALLY SUITABLE FOR GENE
THERAPY

Serial No.: 09/065,752

Filed: April 24, 1998

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS TO
BE USED IN GENE THERAPY

Serial No.: Unknown

Filed: July 7, 1999

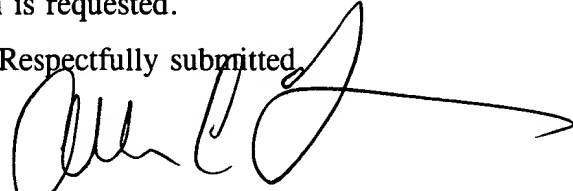
For: CHIMAERIC ADENOVIRUSES

Considered: David Myers

This Supplemental Information Disclosure Statement is believed to be filed before the mailing date of the first Office Action on the merits in the above-identified application.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

Respectfully submitted


Allen C. Turner
Registration No. 33,041
Attorney for Applicants
TRASK, BRITT & ROSSA
P. O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: (801) 532-1922

Date: July 20, 1999

ACT/df

Enclosed: Form PTO-1449
Copy of documents cited

RECEIVED
AUG 10 1999
TECH CENTER 1600/2900